

## Korovkin-Type Approximation on $C^*$ -Algebras

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**THEOREM:** Let  $A$  and  $B$  be complex  $C^*$ -algebras with identities  $1_A$  and  $1_B$ , respectively. Let  $(\phi_n)$  be a sequence of positive linear maps with  $\phi_n(1_A) \leq 1_B$  from  $A$  to  $B$ , and  $\phi$  a  $C^*$ -homomorphism from  $A$  to  $B$ . Then  $C = \{a \in A: \phi_n(a) \rightarrow \phi(a), \phi_n(a^* \circ a) \rightarrow \phi(a^* \circ a)\}$  is a norm-closed  $*$ -subspace of  $A$  and is closed under the Jordan product  $a \circ b = (ab + ba)/2$  in  $A$ . If all  $\phi_n$  and  $\phi$  are Schwarz maps, then  $C$  is, in fact, a  $C^*$ -subalgebra of  $A$ . Here  $\rightarrow$  denotes the operator norm convergence, or the weak operator convergence, or the strong operator convergence. A modification of this theorem for convergence in the trace norm is also considered. Various examples are given to illustrate the theorem.

For a complex  $C^*$ -algebra  $A$  with identity  $1_A$  and a sequence  $(\phi_n)$  of positive linear maps from  $A$  to  $A$  with  $\phi_n(1_A) \leq 1_A$ , Priestley proved in [5] that the set

$$\{a \in A: \phi_n(a) \rightarrow a, \phi_n(a^2) \rightarrow a^2, \phi_n(a^* \circ a) \rightarrow a^* \circ a\}$$

is a  $J^*$ -subalgebra of  $A$  (i.e., a norm-closed  $*$ -subspace of  $A$  which is closed under the Jordan product  $a \circ b = (ab + ba)/2$ ), where  $\rightarrow$  denotes convergence in the operator norm topology, or in the weak or strong operator topologies. Later, Robertson proved in [6] that if each  $\phi_n$  is a Schwarz map (i.e.,  $\phi_n(a)^* \phi_n(a) \leq \phi_n(a^*a)$  for all  $a \in A$ ), then the set

$$\{a \in A: \phi_n(a) \rightarrow a, \phi_n(a^*a) \rightarrow a^*a, \phi_n(aa^*) \rightarrow aa^*\}$$

is, in fact, a  $C^*$ -subalgebra of  $A$ , where  $\rightarrow$  denotes the operator norm convergence.

The purpose of the present paper is to improve (i) Priestley's result by dropping the condition  $\phi_n(a^2) \rightarrow a^2$  in it, and also (ii) Robertson's result by replacing the conditions  $\phi_n(a^*a) \rightarrow a^*a, \phi_n(aa^*) \rightarrow aa^*$  in it by the condition  $\phi_n(a^* \circ a) \rightarrow a^* \circ a$ . Our results are deduced from a preliminary theorem of Priestley which we shall quote later.

Also, we shall work in a more general situation. Throughout this note,  $A$  and  $B$  will denote complex  $C^*$ -algebras with identities  $1_A$  and  $1_B$ , respectively. A  $*$ -linear map  $\phi: A \rightarrow B$  is called a  $C^*$ -homomorphism if  $\phi(a \circ b) = \phi(a) \circ \phi(b)$  for all  $a, b$  in  $A$ .  $C^*$ -homomorphisms are important because they preserve the quantum mechanical properties of the  $C^*$ -algebras. We consider an approximation of a  $C^*$ -homomorphism  $\phi: A \rightarrow B$  by a sequence of positive linear maps  $\phi_n: A \rightarrow B$  satisfying  $\phi_n(1_A) \leq 1_B$ . Unless otherwise stated,  $\rightarrow$  will denote convergence in the operator norm topology, or in the weak or strong operator topologies.

We begin by proving a convergence result for the  $C^*$ -algebra  $\beta(H)$  of all bounded operators on a complex Hilbert space  $H$ .

LEMMA 1. *Let  $(S_n), (T_n), (U_n)$  and  $(V_n)$  be sequences in  $\beta(H)$  such that for all  $n$ ,*

$$S_n^* S_n \leq U_n \quad \text{and} \quad T_n^* T_n \leq V_n.$$

*If  $S, T \in \beta(H)$  and*

$$S_n \rightarrow S, \quad T_n \rightarrow T, \quad U_n + V_n \rightarrow S^* S + T^* T,$$

*then*

$$U_n \rightarrow S^* S \quad \text{and} \quad V_n \rightarrow T^* T,$$

*where  $\rightarrow$  denotes either the operator norm convergence or the weak operator convergence.*

*If  $\rightarrow$  denotes the strong operator convergence, then this result is false in general, but remains true in the following two special cases: (i)  $S_n$  and  $T_n$  are self-adjoint for all  $n$ , (ii)  $T_n^* = S_n$  for all  $n$ .*

*Proof.* For all  $n$ ,

$$\begin{aligned} (U_n - S_n^* S_n) + (V_n - T_n^* T_n) &= (U_n + V_n) - (S^* S + T^* T) \\ &\quad - (S_n^* S_n - S^* S) - (T_n^* T_n - T^* T). \end{aligned}$$

If we show that  $S_n^* S_n \rightarrow S^* S$  and  $T_n^* T_n \rightarrow T^* T$ , then the right side of the above equality tends to zero. But for each  $n$  the left side is the sum of two positive operators so that  $U_n - S_n^* S_n \rightarrow 0$  and  $V_n - T_n^* T_n \rightarrow 0$ ; i.e.,  $U_n \rightarrow S^* S$  and  $V_n \rightarrow T^* T$ .

If  $\rightarrow$  denotes the operator norm convergence, then since  $S_n \rightarrow S$  and  $T_n \rightarrow T$  it is obvious that  $S_n^* S_n \rightarrow S^* S$  and  $T_n^* T_n \rightarrow T^* T$ .

Let, now,  $\rightarrow$  denote the weak operator convergence. Fix  $x \in H$ . Then

$$\begin{aligned} & \|S_n(x) - S(x)\|^2 + \|T_n(x) - T(x)\|^2 \\ &= \langle (S_n^* S_n + T_n^* T_n)(x), x \rangle + \langle (S^* S + T^* T)(x), x \rangle \\ &\quad - 2 \operatorname{Re} \langle S(x), S_n(x) \rangle - 2 \operatorname{Re} \langle T(x), T_n(x) \rangle \\ &\leq \langle (U_n + V_n)(x), x \rangle + \langle (S^* S + T^* T)(x), x \rangle \\ &\quad - 2 \operatorname{Re} \langle S(x), S_n(x) \rangle - 2 \operatorname{Re} \langle T(x), T_n(x) \rangle, \end{aligned}$$

which tends to zero. Hence  $\|S_n(x) - S(x)\| \rightarrow 0$  and  $\|T_n(x) - T(x)\| \rightarrow 0$ . Now,

$$\langle S_n^* S_n(x), x \rangle = \|S_n(x)\|^2 \rightarrow \|S(x)\|^2 = \langle S^* S(x), x \rangle.$$

Since  $x \in H$  is arbitrary, we see that  $S_n^* S_n \rightarrow S^* S$ , and similarly  $T_n^* T_n \rightarrow T^* T$ .

If  $\rightarrow$  denotes the strong operator convergence, the statement of the lemma is false as the following example shows. Let  $H = l^2$ , the Hilbert space of square-summable complex sequences, and  $L$  denote the unilateral left shift operator on  $l^2$ . Define  $S_n = I - L^n$ ,  $T_n = I + L^n$ ,  $U_n = S_n^* S_n$  and  $V_n = T_n^* T_n$ . Then it can be readily seen that  $S_n \rightarrow I$ ,  $T_n \rightarrow I$  and  $U_n + V_n = 2(I + L^{*n} L^n) \rightarrow 2I$  strongly, but  $U_n$  does not tend to  $I$  strongly. If, however, all  $S_n$  and  $T_n$  are self-adjoint, then clearly  $S_n^* S_n = S_n^2 \rightarrow S^2 = S^* S$  and similarly  $T_n^* T_n \rightarrow T^* T$ . Again, if  $T_n^* = S_n$  for each  $n$ , then  $S_n^* S_n = T_n S_n \rightarrow TS = S^* S$  and  $T_n^* T_n = S_n T_n \rightarrow ST = T^* T$ , and we are back in business. ■

We now state the preliminary theorem of Priestley from which our results will be deduced. Although he proved it for the special case  $A = B$  and  $\phi$  equal to the identity map, his proof works equally well in the general situation.

**THEOREM (Priestley [5, Theorems 1.1 and 2.1]).** *The set*

$$J = \{a \in A : a^* = a, \phi_n(a) \rightarrow \phi(a), \phi_n(a^2) \rightarrow \phi(a^2)\}$$

*is a Jordan subalgebra of self-adjoint elements of  $A$ .*

**THEOREM 2.** *The set*

$$C = \{a \in A : \phi_n(a) \rightarrow \phi(a), \phi_n(a^* \circ a) \rightarrow \phi(a^* \circ a)\}$$

*is a  $J^*$ -subalgebra of  $A$ .*

*If  $\phi$  and all  $\phi_n$  are Schwarz maps, then  $C$  is, in fact, a  $C^*$ -subalgebra of  $A$ .*

*Proof.* To show that  $C$  is a  $J^*$ -subalgebra of  $A$ , it is enough to prove, by Priestley's theorem, that  $C = J + iJ$ . Since  $C$  obviously contains  $J + iJ$ , we only have to show that if  $a \in C$  and  $a = a_1 + ia_2$  with  $a_1^* = a_1, a_2^* = a_2$ , then  $a_1, a_2 \in J$ .

For all  $n$ , let  $S_n = \phi_n(a_1), T_n = \phi_n(a_2), U_n = \phi_n(a_1^2)$  and  $V_n = \phi_n(a_2^2)$ . Then, by Kadison's inequality [3],

$$S_n^* S_n = S_n^2 = \phi_n(a_1)^2 \leq \phi_n(a_1^2) = U_n,$$

and similarly,  $T_n^* T_n \leq V_n$ . Also, since  $a \in C$  and  $C$  is  $*$ -closed, we see that  $S_n \rightarrow \phi(a_1) = S, T_n \rightarrow \phi(a_2) = T$ , and

$$U_n + V_n = \phi_n(a_1^2 + a_2^2) = \phi_n(a^* \circ a) \rightarrow \phi(a^* \circ a) = S^* S + T^* T.$$

Hence, by Lemma 1,

$$U_n = \phi_n(a_1^2) \rightarrow S^* S = \phi(a_1)^2 = \phi(a_1^2)$$

and

$$V_n = \phi_n(a_2^2) \rightarrow T^* T = \phi(a_2)^2 = \phi(a_2^2).$$

Thus,  $a_1, a_2 \in J$  so that  $C = J + iJ$  is a  $J^*$ -subalgebra of  $A$ .

Next, let  $\phi$  and  $\phi_n$  be Schwarz maps. Since  $\phi$  is also a  $C^*$ -homomorphism, it follows that (Corollary 1, p. 270 of [4])  $\phi$  is, in fact, a  $*$ -homomorphism. To show that  $C$  is a  $C^*$ -subalgebra of  $A$ , it is enough to prove that if  $a_1, a_2 \in C$  with  $a_1^* = a_1$  and  $a_2^* = a_2$ , then  $a_1 a_2 \in C$ . Let  $a = a_1 + ia_2$ . Since

$$2a_1 a_2 = (2a_1 \circ a_2 + i(a_1^2 + a_2^2 - a^* a))$$

and since  $C$  is a  $J^*$ -subalgebra, we need only prove that  $a^* a \in C$ .

For all  $n$ , let  $S_n = T_n^* = \phi_n(a), U_n = \phi_n(a^* a)$  and  $V_n = \phi_n(aa^*)$ . Since  $\phi_n$  is Schwarz,  $S_n^* S_n \leq U_n$  and  $T_n^* T_n \leq V_n$ . Now  $a \in C$  since  $C$  is a  $J^*$ -subalgebra. Thus,  $S_n \rightarrow \phi(a) = S, T_n \rightarrow \phi(a^*) = T$  and

$$\begin{aligned} U_n + V_n &= \phi_n(a^* a + aa^*) = 2\phi_n(a^* \circ a) \rightarrow 2\phi(a^* \circ a) \\ &= 2\phi(a^*) \circ \phi(a) = S^* S + T^* T. \end{aligned}$$

Hence, by Lemma 1,

$$\phi_n(a^* a) = U_n \rightarrow S^* S = \phi(a)^* \phi(a) = \phi(a^* a),$$

and

$$\phi_n(aa^*) = V_n \rightarrow T^* T = \phi(a) \phi(a)^* = \phi(aa^*).$$

Again, since  $a$  belongs to the  $J^*$ -subalgebra  $C$ , we see that

$$a^*aa^* = 2a^* \circ (a^* \circ a) - (a^* \circ a^*) \circ a$$

as well as  $a \circ (a^*aa^*)$  belong to  $C$ . Therefore,  $\phi_n(a \circ (a^*aa^*)) \rightarrow \phi(a \circ (a^*aa^*))$ . But

$$2a \circ (a^*aa^*) = (a^*a)^2 + (aa^*)^2.$$

Now, letting  $S_n = \phi_n(a^*a)$ ,  $T_n = \phi_n(aa^*)$ ,  $U_n = \phi_n((a^*a)^2)$  and  $V_n = \phi_n((aa^*)^2)$  in Lemma 1, and noting that  $S_n \rightarrow \phi(a^*a) = S$ ,  $T_n \rightarrow \phi(aa^*) = T$  and

$$U_n + V_n = 2\phi_n(a \circ (a^*aa^*)) \rightarrow 2\phi(a \circ (a^*aa^*)) = S^*S + T^*T$$

we conclude that

$$\phi_n((a^*a)^2) = U_n \rightarrow S^*S = \phi(a^*a)^2 = \phi((a^*a)^2).$$

This shows that  $a^*a \in C$  and completes the proof. ■

*Remarks 3.* (i) The set  $C$  is the same for both weak and strong operator convergence. This follows because  $C$  is an algebra and because Theorem 2.1 of [5] shows that the self-adjoint elements in  $C$  are the same regardless of whether  $\rightarrow$  denotes weak or strong convergence.

(ii) A variant of Theorem 2 holds for the algebras of trace class operators. Let  $\mathcal{S}$  and  $\mathcal{E}$  denote the sets of all trace class operators on complex Hilbert spaces  $H$  and  $K$ , respectively. Then  $\mathcal{S}$  and  $\mathcal{E}$  are complex Banach algebras under the respective trace norms  $\|\cdot\|_{\mathcal{S}}$  and  $\|\cdot\|_{\mathcal{E}}$ . Let  $\phi: \mathcal{S} \rightarrow \mathcal{E}$  be a  $*$ linear map which preserves squares, and for  $n = 1, 2, \dots$ , let  $\phi_n: \mathcal{S} \rightarrow \mathcal{E}$  be a positive linear map such that for every  $S \in \mathcal{S}$  with  $\|S\| \leq 1$ , we have  $\|\phi_n(S)\| \leq 1$  and for every  $S \in \mathcal{S}$  with  $\|S\|_{\mathcal{S}} \leq 1$  we have  $\|\phi_n(S)\|_{\mathcal{E}} \leq \alpha$  for some  $\alpha$  independent of  $n$  and  $S$ . Then the set

$$\{S \in \mathcal{S} : \|\phi_n(S) - \phi(S)\|_{\mathcal{E}} \rightarrow 0, \|\phi_n(S^* \circ S) \rightarrow \phi(S^* \circ S)\|_{\mathcal{E}} \rightarrow 0\}$$

is a  $\|\cdot\|_{\mathcal{S}}$ -closed Jordan  $*$ subalgebra of  $\mathcal{S}$ ; if the  $\phi_n$ 's are Schwarz maps and  $\phi$  is a  $*$ homomorphism, then it is, in fact, a  $*$ subalgebra of  $\mathcal{S}$ . This result can be deduced from the corresponding result of Priestley (Theorem 3.1 of [5]) since our Lemma 1 and the first two paragraphs of its proof hold verbatim if we replace  $\beta(H)$  by the set of all trace class operators on  $H$  and let  $\rightarrow$  denote convergence in the trace norm.

Theorem 2 can be used to obtain the convergence of an approximation method  $(\phi_n)$  on a set larger than the one on which the convergence is known a priori. Thus, if the convergence is known to hold on the  $2m$  elements

$a_1, \dots, a_m, a_1^* \circ a_1, \dots, a_m^* \circ a_m$ , then it holds on the  $J^*$ -subalgebra (or on the  $C^*$ -subalgebra, if the  $\phi_n$ 's are Schwarz) generated by  $a_1, \dots, a_m$ . In practice, it is even enough to assume convergence on  $(m + 1)$  elements as the following result shows.

COROLLARY 4. *Let  $a_1, \dots, a_m \in A$  such that*

$$\phi_n(a_j) \rightarrow \phi(a_j), \quad j = 1, \dots, m,$$

and

$$\phi_n \left( \sum_{j=1}^m a_j^* \circ a_j \right) \rightarrow \phi \left( \sum_{j=1}^m a_j^* \circ a_j \right).$$

Then  $\phi_n(a) \rightarrow \phi(a)$  for all  $a$  belonging to the  $J^*$ -subalgebra generated by  $a_1, \dots, a_m$ . If all  $\phi_n$  and  $\phi$  are Schwarz maps, then  $\phi_n(a) \rightarrow \phi(a)$  for all  $a$  belonging to the  $C^*$ -subalgebra generated by  $a_1, \dots, a_m$ .

*Proof.* By Theorem 2, it is enough to show that

$$\phi_n(a_j^* \circ a_j) \rightarrow \phi(a_j^* \circ a_j), \quad j = 1, \dots, m.$$

For all  $n$ ,  $\phi_n(a_j^* \circ a_j) - \phi_n(a_j)^* \circ \phi_n(a_j) \geq 0$  by Kadison's (generalized) inequality, and the proof will therefore be accomplished by demonstrating that the sum  $\sum_{j=1}^m (\phi_n(a_j^* \circ a_j) - \phi_n(a_j)^* \circ \phi_n(a_j))$  tends to zero. Note that this sum is equal to

$$\begin{aligned} & \sum_{j=1}^m (\phi_n(a_j^* \circ a_j) - \phi(a_j)^* \circ \phi(a_j)) \\ & \quad - \sum_{j=1}^m (\phi_n(a_j)^* \circ \phi_n(a_j) - \phi(a_j)^* \circ \phi(a_j)). \end{aligned}$$

Since  $\phi_n(a_j) \rightarrow \phi(a_j)$ , it follows that  $\phi_n(a_j)^* \circ \phi_n(a_j) \rightarrow \phi(a_j)^* \circ \phi(a_j)$  for  $j = 1, \dots, m$ . This is obvious for the operator norm convergence, and for the weak or strong operator convergence, we argue as follows. Let  $\phi_n(a_j) \rightarrow \phi(a_j)$  weakly,  $j = 1, \dots, m$ . For any  $x$  in the underlying Hilbert space,

$$\begin{aligned} & \frac{1}{2} \sum_{j=1}^m (\|(\phi_n(a_j) - \phi(a_j))(x)\|^2 + \|(\phi_n(a_j) - \phi(a_j))^*(x)\|^2) \\ & \leq \left\langle \left( \sum_{j=1}^m \phi_n(a_j^* \circ a_j)(x), x \right) \right\rangle + \left\langle \left( \sum_{j=1}^m \phi(a_j^* \circ a_j)(x), x \right) \right\rangle \\ & \quad - \sum_{j=1}^m \operatorname{Re} \langle \phi(a_j)(x), \phi_n(a_j)(x) \rangle - \sum_{j=1}^m \operatorname{Re} \langle \phi(a_j)^*(x), \phi_n(a_j)^*(x) \rangle, \end{aligned}$$

which tends to zero. Hence  $\phi_n(a_j) \rightarrow \phi(a_j)$  and  $\phi_n(a_j)^* \rightarrow \phi(a_j)^*$  strongly. Thus,  $\phi_n(a_j)^* \circ \phi_n(a_j) \rightarrow \phi(a_j)^* \circ \phi(a_j)$  strongly and the desired result follows. ■

EXAMPLES 5. (i) Let  $A = B = M_k$ , the  $C^*$ -algebra of all  $k \times k$  matrices with complex entries, and let  $\phi$  be the identity map. Let

$$a = \begin{bmatrix} 0 & & & & \\ & 0 & & & 1 \\ & & \ddots & & \\ & 0 & & 0 & \\ & & & & 0 \end{bmatrix},$$

where the 0's occur on and below the main diagonal and the 1's occur above it. Then

$$2a^* \circ a = \begin{bmatrix} k-1 & k-2 & \dots & 1 & 0 \\ k-2 & k-1 & \dots & 2 & 1 \\ \vdots & \vdots & & \vdots & \vdots \\ 1 & 2 & \dots & k-1 & k-2 \\ 0 & 1 & \dots & k-2 & k-1 \end{bmatrix} = [a_{i,j}],$$

where we note that  $a_{i,j} = a_{k+1-j,k+1-i}$ . It can be seen that the  $J^*$ -subalgebra generated by  $a$  in  $M_k$  is

$$J = \{[b_{i,j}] : b_{i,j} = b_{k+1-j,k+1-i}\},$$

while the  $C^*$ -subalgebra generated by  $a$  is all of  $M_k$ . Hence, by Theorem 2, if for  $n = 1, 2, \dots$ ,  $\phi_n: M_k \rightarrow M_k$  is a positive linear map with  $\phi_n(I) \leq I$  (resp., a Schwarz map), and  $\phi_n(a) \rightarrow a$ ,  $\phi_n(a^* \circ a) \rightarrow a^* \circ a$ , then  $\phi_n(b) \rightarrow b$  for all  $b \in J$  (resp., for all  $b \in M_k$ ).

(ii) Let  $X$  be a compact Hausdorff topological space, and  $A = B = C(X)$ , the  $C^*$ -algebra of all continuous complex-valued functions on  $X$  with the supremum norm. For  $n = 1, 2, \dots$ , let  $\phi_n: C(X) \rightarrow C(X)$  be a positive linear map and let  $\phi_n(1) \rightarrow 1$  uniformly on  $X$ . Let  $f_1, \dots, f_m \in C(X)$  separate the points of  $X$  and satisfy

$$\begin{aligned} \phi_n(f_j) &\rightarrow f_j, \\ \phi_n(|f_1|^2 + \dots + |f_m|^2) &\rightarrow |f_1|^2 + \dots + |f_m|^2 \end{aligned}$$

uniformly on  $X$ . Then  $\phi_n(f) \rightarrow f$  uniformly on  $X$  for all  $f \in C(X)$ .

This can be seen as follows. By considering  $\phi'_n = \phi_n / \|\phi_n(1)\|$ , we can

assume without loss of generality that  $\phi_n(1) \leq 1$  for all  $n$ . For  $f \in C(X)$  and  $x \in X$ ,

$$|\phi_n(f)(x)|^2 \leq \phi_n(1)(x) \phi_n(|f|^2(x)) \leq \phi_n(|f|^2)(x),$$

by the classical Cauchy–Schwarz inequality. Hence each  $\phi_n$  is a Schwarz map. By Corollary 4,  $\phi_n(f) \rightarrow f$  uniformly for all  $f$  in the uniformly closed conjugate-closed subalgebra generated by  $\{1, f_1, \dots, f_m\}$ . But it equals  $C(X)$ , by the Stone–Weierstrass theorem. Hence the result.

In particular, if  $X$  is a bounded closed subset of the Euclidean space  $R^m$ , then we can consider the coordinate functions

$$f_j(x_1, \dots, x_m) = x_j, \quad j = 1, \dots, m.$$

Or, if  $X$  is a closed subset of the  $m$ -dimensional torus  $T^m = \{t = (e^{i\theta_1}, \dots, e^{i\theta_m}) : 0 \leq \theta_j < 2\pi\}$ , then we can consider

$$f_j(t) = \cos \theta_j, \quad g_j(t) = \sin \theta_j, \quad j = 1, \dots, m.$$

Note here that  $|f_1|^2 + \dots + |f_m|^2 + |g_1|^2 + \dots + |g_m|^2 = m$ .

(iii) Let  $H$  be a complex Hilbert space and  $A = B = \beta(H)$ . Consider a  $*$ homomorphism  $\phi: \beta(H) \rightarrow \beta(H)$ . (For example, if  $U \in \beta(H)$  is an isometry, then  $\phi(T) = UTU^*$  for  $T \in \beta(H)$ , is a  $*$ homomorphism.) Let  $\phi_n: \beta(H) \rightarrow \beta(H)$  be Schwarz maps and let  $S_1, \dots, S_m \in \beta(H)$  satisfy

$$\phi_n(S_j) \rightarrow \phi(S_j), \quad j = 1, \dots, m,$$

and

$$\phi_n(S_1^* \circ S_1 + \dots + S_m^* \circ S_m) \rightarrow \phi(S_1^* \circ S_1 + \dots + S_m^* \circ S_m).$$

Assume that  $S_1, S_1^*, \dots, S_m, S_m^*$  do not have a common non-trivial invariant subspace of  $H$  and that the  $C^*$ -subalgebra  $C$  generated by  $S_1, \dots, S_m$  contains a non-zero compact operator. Then

$$\phi_n(S) \rightarrow \phi(S)$$

for all compact operators  $S$  in  $\beta(H)$ . This follows from Corollary 4 since being an irreducible  $C^*$ -subalgebra of  $\beta(H)$ ,  $C$  contains all compact operators (Corollary 2, p. 18 of [1]).

To give specific, concrete cases, let  $H = L^2([0, 1])$ . First consider the *Volterra integration operator*

$$V(f)(x) = \int_0^x f(t) dt, \quad f \in L^2([0, 1]).$$



Then the invariant subspaces of  $V$  are of the form  $\{f \in L^2([0, 1]): f = 0$  almost everywhere on  $[0, \alpha]\}$ , where  $0 \leq \alpha \leq 1$ . (See pp. 94–97 of [2].) Hence  $V$  and  $V^*$  have no non-trivial common invariant subspace. Also,  $V$  is itself a compact operator. Hence we can take  $m = 1$  and  $S_1 = V$  in the above result. Thus, if convergence holds on  $V$  and  $V^* \circ V$ , where

$$V^* \circ V(f)(x) = \frac{1}{2} \left[ \int_0^x \left( \int_y^1 f(t) dt \right) dy + \int_x^1 \left( \int_0^y f(t) dt \right) dy \right],$$

then it holds on all compact operators on  $L^2([0, 1])$ .

Next, consider the *multiplication* operator

$$M(f)(x) = xf(x), \quad f \in L^2([0, 1]),$$

and if  $g(x) = x$  for all  $x \in [0, 1]$ , let

$$T(f) = \left( \int_0^1 f(t) dt \right) g, \quad f \in L^2([0, 1]).$$

Then  $M$  and  $M^*$  have common non-trivial invariant subspaces since  $M^* = M$ . Also, since

$$T^*(f)(x) = \int_0^1 tf(t) dt, \quad f \in L^2([0, 1])$$

it follows that  $T$  and  $T^*$  leave the subspace  $\{f \in L^2([0, 1]): \int_0^1 f(t) dt = 0 = \int_0^1 tf(t) dt\}$  invariant. We show that  $M$  and  $T$  do not have a common non-trivial invariant subspace. Let  $F$  be a closed subspace of  $L^2([0, 1])$  which is invariant under both  $M$  and  $T$ . If there is some  $f_0 \in F$  with  $\int_0^1 f_0(t) dt \neq 0$ , then  $T(f_0)$  is a non-zero multiple of  $g$  and since  $T(f_0) \in F$ , we have  $g \in F$ . But then for  $n = 1, 2, \dots$ ,  $M^n(g) = g^{n+1}$  are all in  $F$  so that  $F = L^2([0, 1])$ . On the other hand, if  $\int_0^1 f(t) dt = 0$  for all  $f \in F$ , then for every  $f \in F$ ,  $M^n(f) = g^n f \in F$  so that  $\int_0^1 t^n f(t) dt = 0$  for all  $n = 0, 1, 2, \dots$ . Hence  $f = 0$  and we see that  $F = \{0\}$ .

Now since  $T$  is itself compact, the earlier result applies with  $m = 2$ ,  $S_1 = M$  and  $S_2 = T$ . Thus, if convergence holds on  $M$ ,  $T$  and  $M^2 + T^* \circ T$ , where

$$(M^2 + T^* \circ T)(f)(x) = x^2f(x) + \frac{x}{2} \int_0^1 (tf(t) + \frac{1}{6}f(t)) dt,$$

then it holds on all compact operators on  $L^2([0, 1])$ .

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